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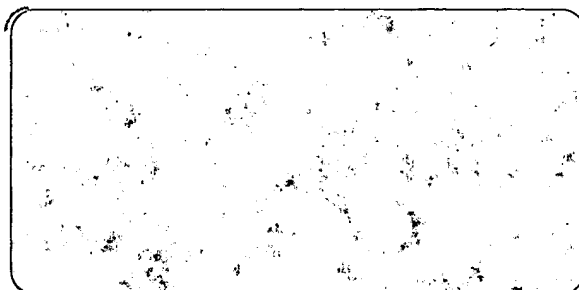
Attorney's Docket No.2002US303
Filing Date: January 9, 2002
Serial No. 10/042,531
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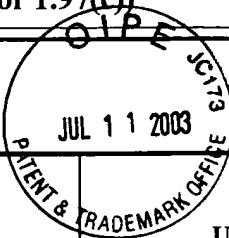
- 1) Transmittal of Information Disclosure Statement - 2 pages w/Certificate of Mailing by First Class Mail
- 2) Information Disclosure Statement (2 pages)
- 3) Information Disclosure Citation (1 page)
- 4) References XP-008014024 and WO 02/093263 A1
- 5) Copy of International Search Report - Int'l Application No. PCT/EP03/00021 (4 pages)
- 6) Certificate of Mailing for items 2 and 3

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**(Under 37 CFR 1.97(b) or 1.97(c))**Docket No.
2002US303In Re Application Of: **TAKANORI KUDO et al**Serial No.
10/042,531Filing Date
January 9, 2002Examiner
UNKNOWNGroup Art Unit
1752Title: **NEGATIVE DEEP ULTRAVIOLET PHOTORESIST****RECEIVED**
JUL 15 2003
GROUP 1700Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

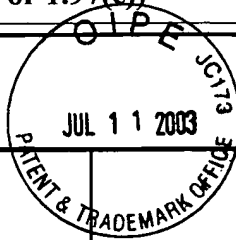
2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
2002US303

In Re Application: **TAKANORI KUDO et al**



Serial No.
10/042,531

Filing Date
January 9, 2002

Examiner
UNKNOWN

Group Art Unit
1752

NEGATIVE DEEP ULTRAVIOLET PHOTORESIST

RECEIVED
JUL 15 2003
GROUP 1700

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
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Signature

Dated: July 8, 2003

Sangya Jain, Reg. No. 38,504
Clariant Corporation
70 Meister Avenue
Somerville, NJ 08876
telephone (908) 429-3536

CC:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Takanori Kudo et al

Docket No.

2002US303

Serial No.

10/042,531

Filing Date

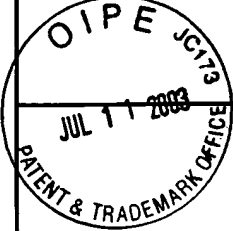
January 9, 2002

Examiner

UNKNOWN

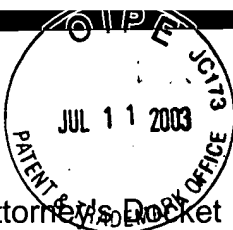
Group Art Unit

1752

Invention: **NEGATIVE DEEP ULTRAVIOLET PHOTORESIST****RECEIVED**
JUL 15 2003
GROUP 1700I hereby certify that this **INFORMATION DISCLOSURE CITATION***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 8, 2003*(Date)*Vivian Austin*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**



Attorney's Docket No. 2002US303

1752
#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Takanori Kudo et al

Serial No.: 10/042,531

Group Art Unit: 1752

Filed: January 9, 2002

Examiner: UNKNOWN

For: NEGATIVE DEEP ULTRAVIOLET PHOTORESIST

Assistant Commissioner for Patents
Washington, D.C. 20231

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JUL 15 2003
GROUP 1706

INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF THE FIRST OFFICE ACTION

Sir/Madam:

1. IDENTIFICATION OF TIME OF FILING INFORMATION DISCLOSURE
STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(e).

2. PRELIMINARY STATEMENTS

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

3. COMPLETED FORM PTO-1449 IS ATTACHED.

4. IDENTIFICATION OF PRIOR APPLICATION IN WHICH LISTED
INFORMATION WAS ALREADY CITED AND FOR WHICH NO COPIES ARE
SUBMITTED OR NEED BE SUBMITTED

[] This application relies, under 35 U.S.C. 120, on the earlier filing date of
prior application Serial No. _____ filed on _____. The following

references were submitted to and/or cited by the Office in this prior application, and therefore, are not required to be provided in this application.

5. COPIES OF LISTED INFORMATION ITEMS ACCOMPANYING THIS STATEMENT

Legible copies of all items listed accompany this information statement.

☒ Exception(s) to above: WO 00/67072

☐ Items in prior application from which an earlier filing date is claimed for this application as identified in No. 4 above.

6. TRANSLATION(S) OF NON-ENGLISH LANGUAGE DOCUMENTS

☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information considered to be material:

☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except those listed above.

☐ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.

7. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted:



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